

STATE OF WASHINGTON
DEPARTMENT OF FINANCIAL INSTITUTIONS
SECURITIES DIVISION

IN THE MATTER OF:

S-03-181-03-TO01

KEVIN P. DILLEY, CRD# 1439944,

Respondent.

**SUMMARY ORDER SUSPENDING
SECURITIES SALESPERSON
REGISTRATION**

Case No. S-03-181

THE STATE OF WASHINGTON TO:

Kevin P. Dilley
716 South Neyland
PO Box 69
Liberty Lake, WA 98019

J.P. Turner & Company, LLC
Attn.: Alfred L. Pierantozzi
610 West 2nd Avenue
Spokane, WA 99202

STATEMENT OF CHARGES

Please take notice that the Securities Administrator of the State of Washington has reason to believe that Respondent Kevin P. Dilley is in non-compliance with a support order, and that pursuant to the Securities Act of Washington, Ch. 21.20.110(9), non-compliance with a support order is grounds for summary suspension of Respondent's Dilley's securities salesperson registration. The Securities Administrator finds as follows:

TENTATIVE FINDINGS OF FACT

1. Kevin P. Dilley ("Dilley"), CRD# 1439944, is registered in Washington as a securities salesperson working for J. P. Turner & Company, LLC, a registered broker-dealer with offices in Spokane. Dilley resides in Liberty Lake, Washington.

2. On or about September 22, 2003, the Securities Division received notice from the Department of Social and Health Services, Division of Child Support, certifying that Dilley is in non-compliance with a support order. DSHS License Suspension Certification attached.

1 3. Pursuant to RCW 21.20.110(9), and in accordance with RCW 74.20A.320, the Division must
2 immediately suspend the registration of any person so certified.

3
4 Based upon the above Tentative Findings of Fact, the following Conclusions of Law are made:

5 **CONCLUSIONS OF LAW**

6 1. The Department of Social and Health Services, Division of Child Support, has certified Respondent
7 Kevin P. Dilley as being in non-compliance with a support order. Such certification is grounds for the
8 immediate suspension of Respondent's securities salesperson registration pursuant to RCW 21.20.110(9).

9
10 **SUMMARY ORDER**

11 Based on the foregoing, NOW, THEREFORE, IT IS HEREBY SUMMARILY ORDERED that
12 Respondent Kevin Paul Dilley's securities salesperson registration is hereby summarily suspended, and shall
13 remain suspended until such time as the Department of Social and Health Services certifies that Respondent
14 Dilley is in compliance with the support order.

15 It is further ORDERED that providing Respondent has continued to meet the requirements for registration
16 during the suspension, upon receipt of a release issued by the Department of Social and Health Services stating
17 that Respondent Dilley is in compliance with the support order, Respondent's securities salesperson registration
18 shall be automatically renewed.

19
20 **AUTHORITY AND PROCEDURE**

21 This SUMMARY ORDER is entered pursuant to the provisions of RCW 21.20.110 and is subject to the
22 provisions of RCW 21.20.120 and Ch. 34.05 RCW. Respondent Dilley may make a written request for a
23 hearing as set forth in Notice of Opportunity to Defend and Opportunity for Hearing accompanying this

Summary Order. If the Respondent does not request a hearing, the Securities Administrator intends to adopt the above Tentative Findings of Fact and Conclusions of Law, and make the Summary Order final.

DATED this 24 day of September, 2003.



Deborah R. Bortner
Securities Administrator

Approved by:



Michael E. Stevenson
Chief of Enforcement

Presented by:



Anthony W. Carter
Financial Legal Examiner